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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 2002DE438
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/535020
INTERNATIONAL APPLICATION NO. PCT/EP2003/012043	INTERNATIONAL FILING DATE 30 October 2003	PRIORITY DATE CLAIMED 12 November 2002
TITLE OF INVENTION Copolymers Containing Stryene Oxide And Use Thereof As Emulsifiers And Dispersing Agents		
APPLICANT(S) FOR DO/EO/US Klaus POELLMANN, Martin GLOS, Anton STRASSER and Eckart KRAEMER		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). </p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). </p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input checked="" type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: See Attachment 1</p>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER					
10/535020		PCT/EP2003/012043		2002DE438					
The following fees have been submitted									
21. <input checked="" type="checkbox"/> Basic national fee.....		\$300		CALCULATIONS PTO USE ONLY					
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....		\$100		\$	300.00				
All other situations.....		\$200		\$	200.00				
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....		\$100		\$	400.00				
International Search Report prepared and provided to the Office.....		\$400		\$					
All other situations.....		\$500		\$					
TOTAL OF 21, 22 and 23 =									
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE						
22 - 100 =	- 18 /50 =		x \$250						
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).									
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE					
Total claims		9 - 20 =	0	x \$ 50					
Independent claims		1 - 3 =	0	x \$200					
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360					
TOTAL OF ABOVE CALCULATIONS =									
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.									
SUBTOTAL =									
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).									
TOTAL NATIONAL FEE =									
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property									
TOTAL FEES ENCLOSED =									
<table border="1"> <tr> <td>Amount to be refunded:</td> <td>\$</td> </tr> <tr> <td>Amount to be charged:</td> <td>\$</td> </tr> </table>						Amount to be refunded:	\$	Amount to be charged:	\$
Amount to be refunded:	\$								
Amount to be charged:	\$								
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>03-2060</u> in the amount of \$ <u>900.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-2060</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.									
SEND ALL CORRESPONDENCE TO:									
Richard P. Silverman									
Clariant Corporation									
Intellectual Property Law Department									
4000 Monroe Road									
Charlotte, NC 28205									
CUSTOMER NO. 25,255									
36,277									
REGISTRATION NUMBER									

10/535020
JC14 Rec'd PCT/PTO 12 MAY 2005

Addendum

Attachment 1

Express Mail Label No. ED 795273200 US; copy of International Search Report and Transmittal Letter Notification of Amendments Under PCT Article 34 with two pages of amended sheets.

10/535020

JC14 Rec PCT/PTO 12 MAY 2005
Attorney's Docket No. 2002DE438

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Express Mail Label Number ED 795273200 US

Date of Mailing: May 12, 2005

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that on the date indicated above this International application and the documents referred to as enclosed therein, of:

- CERTIFICATION UNDER 37 CFR 1.10 (Express Mail) (1 page)
- TRANSMITTAL LETTER TO THE DO/EO/US (2 pages) w/ duplicate (4 pages)
- Executed Assignment with Transmittal Cover (2 pages)
- Copy of International Search Report (3 pages)
- Transmittal Letter Notification of Amendments Under PCT Article 34
- Preliminary Amendment w/ Abstract (06 pages)
- ENGLISH TRANSLATION OF APPLICATION (22 PAGES SPECIFICATION, claims)
(claims 9 in number)
- Executed Declaration (2 pages)

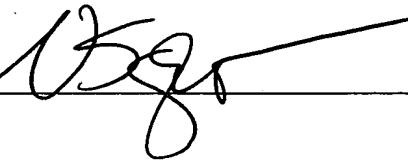
Inventor: Klaus POELLMANN
 Martin GLOS
 Anton STRASSER
 Eckart KRAEMER

**For: Copolymers Containing Stryene Oxide And Use Thereof As Emulsifiers
And Dispersing Agents**

**International Application No.: PCT/EP2003/012043
International Filing Date: 30 October 2003
Priority Filing Date: 12 November 2002**

is being deposited with the United States Postal Service as "Post Office to Addressee" Express Mail addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Mail Stop:, in accordance with 37 CFR 1.10.

Vicki L. Sgro



Express Mail Label Number ED 795273200 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: :
Klaus POELLMANN et al. : Attorney Docket: 2002DE438
Serial No.: to be Assigned :
Filed: May 12, 2005 :
For: Copolymers Containing Stryene Oxide And Use Thereof As Emulsifiers And
Dispersing Agents

Transmittal Letter
Notification of Amendments Under PCT Article 34

Mail Stop:
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Preliminary to the examination of the above-identified application, an Amendment was filed under Article 34 of the Patent Cooperation Treaty prior to the International Preliminary Examination. Please note that the attached two page, Claims 1-9, were filed with the European Patent Office. We enclose an English translation of the claims for your convenience.

Applicant respectfully requests submission of these pages before examination of the application and before entry of the Preliminary Amendment.

Respectfully submitted,



Richard P. Silverman, Reg. No. 36,277

(CUSTOMER NUMBER 25,255)

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